

3281  
022504  
U.S. PTOUTILITY  
PATENT APPLICATION  
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.: 2004\_0201

U.S. PTO

First Named Inventor : Norio KIMURA et al.

10/784945

Title: POLISHING APPARATUS AND METHOD

20857

Express Mail Label No.:

022504

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

Commissioner for Patents  
ADDRESS TO: P.O. Box 1450  
Alexandria, VA 22313-1450

1.  Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)

2.  Small Entity Status is hereby asserted.

3.  Specification  
(preferred arrangement set forth below)  
- Descriptive title of the Invention  
- Cross References to Related Applications  
- Statement Regarding Fed sponsored R & D  
- Reference to Sequence Listing, a table, or a computer program listing appendix.  
- Background of the Invention  
- Brief Summary of the Invention  
- Brief Description of the Drawings (if filed)  
- Detailed Description  
- Claim(s)  
- Abstract of the Disclosure

4.  Drawing(s) (35 USC 113)  
[Total Sheets: 12]

5.  Oath or Declaration  
a.1.  Newly executed (original or copy)  
a.2.  Unexecuted  
b.  Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)  
i.  **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

6.  Application Data Sheet (see 37 CFR 1.76)

7.  CD-ROM or CD-R in duplicate, large table or computer program (Appendix)

8.  Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)  
a.  Computer Readable Form  
b. Specification Sequence Listing on:  
i.  CD-ROM or CD-R (2 copies); or  
ii.  Paper  
c.  The paper and computer readable copies are identical

## ACCOMPANYING APPLICATION PARTS

9.  Assignment Papers (cover sheet & document(s))  
10.  37 CFR 3.73(b) Statement (when there is an assignee)  
     Power of Attorney  
11.  English Translation Document (if applicable)  
12.  Information Disclosure Statement (IDS)/PTO-1449  
     Copies of IDS Citations  
13.  Preliminary Amendment  
14.  Return Receipt Postcard (MPEP 503) (Should be specifically itemized)  
15.  Certified Copy of Priority Document(s)  
(if foreign priority is claimed)  
16.  Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i).  
Applicant must attach form PTO/SB/35 or its equivalent.  
17.  Other Claim of Priority under 119

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below, and in a preliminary amendment, or in an Application Data Sheet:

 Continuation       Divisional       Continuation-in-part (CIP)      of prior application No. 09/824,644

Prior Application Information    Examiner Eileen P. Morgan    Group Art Unit 3723

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference therein. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

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By:

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February 25, 2004

022504  
13281 U.S. PTO

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of : **Attn: APPLICATION BRANCH**

Norio KIMURA et al. : Attorney Docket No. 2004\_0201

Serial No. NEW :

Filed February 25, 2004 :

**POLISHING APPARATUS AND METHOD**

**(Rule 1.53(b) Divisional  
of Serial No. 09/824,644,  
Filed April 4, 2001)**

**PATENT OFFICE FEE TRANSMITTAL FORM**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Attached hereto is a check in the amount of \$1,114.00 to cover Patent Office fees relating to filing the following attached papers:

Rule 53(b) application ..... \$770.00

Additional Claims Fee:  
Independent ..... \$344.00

A duplicate copy of this paper is being submitted for use in the Accounting Division, Office of Finance.

*The Commissioner is authorized to charge any deficiency or to credit any overpayment associated with this communication to Deposit Account No. 23-0975, with the EXCEPTION of deficiencies in fees for multiple dependent claims in new applications.*

Respectfully submitted,

Norio KIMURA et al.

By

  
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JMG/edg

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[Check No. 60400]  
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